

#2

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
36861-00002

USPTO  
JC828  
10/04/02  
465

02/12/02

In Re Application Of:

**Brian Yolles**

Serial No.

TBA

Filing Date

February 11, 2002

Examiner

TBA

Group Art Unit

TBA

Title:

**METHOD AND SYSTEM FOR INSURING AGAINST INVESTMENT LOSS**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

#2

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
36861-00002

US PTO  
10/10/02  
JC828  
02/12/02

In Re Application Of:

Brian Yolles

Serial No.

TBA

Filing Date

February 11, 2002

Examiner

TBA

Group Art Unit

TBA

Title:

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-3250 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No.

) on \_\_\_\_\_  
(Date)

Signature

*Chris L. Holm, Esq.*  
Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Chris L. Holm, Esq.  
Registration No. 39,227  
Milbank, Tweed, Hadley & McCloy LLP  
1 Chase Manhattan Plaza  
New York, NY 10005-1413

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 02/11/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Hildere Jean-Louis*  
Signature of Person Mailing Correspondence

*Hildere Jean-Louis*  
Typed or Printed Name of Person Mailing Correspondence

Dated: February 11, 2002

CC:

INFORMATION DISCLOSURE CITATION  
(Use several sheets if necessary)

Docket Number (Optional)

36861-00007

Application Number

TBA

Applicant(s)

Brian Yolles

Filing Date

Group Art Unit

TBA

U.S. PRO  
JC 28 074659  
10/07/2007 12:59

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,704,045	12/30/1997	King et al.			
	2	6,134,536	10/17/2000	Shepherd			
	3	5,970,479	10/19/1999	Shepherd			
	4	6,113,493	09/05/2000	Walker et al.			
	5	6,119,093	09/12/2000	Walker et al.			
	6	6,128,598	10/03/2000	Walker et al.			
	7	5,884,274	03/16/1999	Walker et al.			
	8	6,064,985	05/16/2000	Anderson			
	9	6,049,772	04/11/2000	Payne et al.			
	10	5,974,390	10/26/1999	Ross			
	11	5,966,700	10/12/1999	Gould et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.